

UTILITY PATENT APPLICATION TRANSMITTAL*(Only for new nonprovisional applications under 37 CFR 1.53(b))*Docket No
D-2804CON2

Total Pages in this Submission

TO THE COMMISSIONER FOR PATENTS
Mail Stop Patent Application
PO Box 1450
Alexandria, VA 22313-1450

Transmitted herewith for filing under 35 U.S.C. 111(a) and 37 CFR 1.53(b) is a new utility patent application for an invention entitled:

PRESERVED CYCLODEXTRIN-CONTAINING COMPOSITIONS

and invented by:

BECK ET AL

If a **CONTINUATION APPLICATION**, check appropriate box and supply the requisite information:

☒ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No.:

09/989,295, filed November 20, 2001

09/388,968, filed September 2, 1999

Enclosed are **Application Elements**:

- ☒ Filing Fee
- ☒ Specification having 32 page(s) and including the following:
- ☒ Title of the Invention
 - ☐ Cross References to Related Applications (*if applicable*)
 - ☒ Background of the Invention
 - ☒ Brief Summary of the Invention
 - ☐ Description of the Drawings
 - ☒ Detailed Description
 - ☒ Claim(s) as Classified Below
 - ☒ Abstract of the Disclosure
- ☐ _ Sheets of Drawings(s) (37 CFR 113) ☐ Formal ☐ Informal
- ☒ Oath or Declaration ☒ Executed ☐ Unexecuted
- ☒ Copy from prior application (37 CFR 1.63(d)) (*for continuation/divisional application only*)
- ☒ Power of Attorney ☒ Executed ☐ Unexecuted
- ☒ Copy from prior application (37 CFR 1.63(d)) (*for continuation/divisional application only*)
- ☒ Incorporation By Reference -- The entire disclosure of the prior application from which a copy of the oath or declaration is supplied under the above entry, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.
- ☐ Computer Program in Microfiche (*Appendix*)

Accompanying Application Parts

- ☒ Assignment Papers (cover sheets & documents(s))
- ☒ The prior application is assigned of record to **ALLERGAN SALES, INC..**

DOCKET NO. D-2757CPACON

- ☒ Copy from prior application (37 CFR 1.63(d)) *(for continuation/divisional application only)*
- ☐ 37 CFR 3.73(B) Statement *(when there is an assignee)*
- ☐ English Translation Document *(if applicable)*
- ☒ Information Disclosure Statement/PTO-1449 ☐ Copies of ___ IDS Cited Reference(s)
- ☒ Preliminary Amendment
- ☒ Acknowledgment postcard
- ☒ Certificate of Mailing by Express Mail
- ☐ Certified Copy of Priority Document(s) *(if foreign priority is claimed)*
- ☒ Preliminary Amendment
- ☒ Application Data Sheet

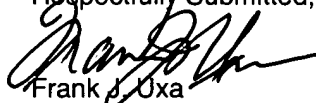
Fee Calculation and Transmittal

* The filing fee is calculated on the basis of the claims existing in the prior application as amended by the accompanying preliminary amendment noted above.

CLAIMS AS FILED					
For	#Filed	#Allowed	#Extra	Rate	Fee
Total Claims	20	- 20 =		X \$18.00	\$ 0.00
Independent Claims	1	- 3 =		X \$86.00	\$ 0.00
Multiple Dependent Claims <i>(check if applicable)</i> <input type="checkbox"/>					\$ 0.00
BASIC FEE					\$ 770.00
OTHER FEE <i>(specify purpose)</i>					\$ 0.00
<small>(Applicant has small entity status under 37 CFR 1.9 and 1.27)</small>				SMALL ENTITY STATUS	--
TOTAL FILING FEE					\$770.00

- ☐ A check in the amount of \$ ___ to cover the filing fee and the assignment fee is enclosed.
- ☒ The Commissioner is hereby authorized to charge and/or credit Deposit Account Number 01-0885 as described below.
- ☒ Charge the amount of \$770.00 as filing fee.
- ☒ Credit any overpayment.
- ☒ Charge any additional filing fees required under 37 CFR 1.16 and 1.17.

Respectfully Submitted,


 Frank J. Uxa
 Attorney for Applicants
 Reg. No: 25,612

4 Venture, Suite 300, Irvine, CA 92618
 phone (949) 450-1750; fax (949) 450-1764

DOCKET NO.: D-2804CON2

THE ENCLOSED PATENT APPLICATION OF BECK ET AL IS BEING
FILED IN ACCORDANCE WITH SECTION 37 CFR 1.10 BY EXPRESS
MAIL AND SHOULD BE ACCORDED A FILING DATE OF

March 15, 2004

SEE THE EXPRESS MAIL CERTIFICATE ATTACHED TO THE APPLICATION.

D-2804CON2

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE
PATENT

In re application of:

BECK et al)	Group Art Unit: N/A
)	(Prior Appln. 1614)
Serial No. N/A)	
)	Examiner: N/A (Prior
)	Appln. JAGOE, D.)
Dated: Submitted herewith)	
)	
For: PRESERVED CYCLODEXTRIN-)	
CONTAINING COMPOSITIONS)	

Express Mail Mailing Label No. EV446208294US

Date of Deposit: March 15, 2004

I hereby certify that the following documents as identified below are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and are addressed to the Commissioner for Patents, Box New App, P.O. Box 2327, Arlington, VA 22202:

1. Continuation Transmittal Letter;
2. Application Data Sheet;
3. Copy of the prior Application;
4. Copy of the prior Declaration;
5. Copy of the prior Assignment;
6. Preliminary Amendment;
7. Information Disclosure Statement, PTO-1449;

The above-identified 7 documents are enclosed herewith.

Respectfully submitted,



Janet E. McGhee, Office of
Frank J. Uxa
Attorney for Applicant
Reg. No. 25,612
4 Venture, Suite 300
Irvine, CA 92618
(949) 450-1750
Facsimile (949) 450-1764

FJUxa/jm